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- 1 FEB 2008

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WASHINGTON, D.C.  
FRANKFURT

INTELLECTUAL PROPERTY LAW

DATE: February 1, 2008

FILE #02901/0203976-US0:

FACSIMILE NO.	RECIPIENT AND COMPANY	CONFIRMATION WILL FOLLOW
571-273-0459	PCT Legal Dept Patent & Trademark Office	No

FROM: Julian A. Williams

E-MAIL: jwilliams@darbylaw.com

PHONE: 917.286.2940

NO. OF PAGES: 15  
(including cover page)

COMMENTS:

**PLEASE RETURN TO JULIAN A. WILLIAMS****\* IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT 212.527.7774**

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Docket No.: 02901/0203976-US0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Giancarlo Tonon et. al.

Serial No.: 10/575,051

Filed: April 3, 2006

For: MAMMALIAN CELL LINES MODIFIED FOR THE PRODUCTION OF RECOMBINANT  
GLYCOPROTEINS

-----  
**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

- ☐ incorrectly entered                      and/or
- ☒ omitted.

Error in	Correct data
<input checked="" type="checkbox"/> Applicant's name	Giancarlo Tonon, Milan, Italy Sabrina Pozzuolo, Sesto San Giovanni, Italy Lucia Monaco, Milan, Italy
<input type="checkbox"/> Applicant's address	
<input type="checkbox"/> Title	
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

## Remarks:

Enclosed please find a copy of the Executed Declaration that shows that the third inventor should be listed on the Filing Receipt.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: January 31, 2008



Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O.Box 770  
Church Street Station  
New York, NY 10008-0770



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/575,051	04/03/2006	1638	800	02901/0203978-US0	26	2

CONFIRMATION NO. 5959

7278  
DARBY & DARBY P.C.  
P. O. BOX 5257  
NEW YORK, NY 10150-5257

## FILING RECEIPT



\*OC000000023073028\*

Date Mailed: 03/28/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Giancarlo Tonon, Milan, ITALY;  
Sabrina Pozzuolo, Sesto San Giovanni, ITALY;

*LUCA MONACO, MILAN, ITALY*

## Assignment For Published Patent Application

Keryos SPA, Gessate, ITALY

Power of Attorney: The patent practitioners associated with Customer Number 7278.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IT04/00529 09/27/2004

## Foreign Applications

ITALY MI2003A001909 10/03/2003

If Required, Foreign Filing License Granted: 03/23/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/575,051**

Projected Publication Date: 07/05/2007

Non-Publication Request: No

Computer *[Signature]*  
O&D Entry *[Signature]*  
Looseleaf *[Signature]*  
Folder *[Signature]*  
Card \_\_\_\_\_  
Letter \_\_\_\_\_  
Foreign Flg. \_\_\_\_\_

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Mammalian cell lines modified for the production of recombinant glycoproteins

**Preliminary Class**

800

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An International (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-PATENT (1-866-999-7156).

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Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.:

**DECLARATION  
AND POWER OF ATTORNEY  
Original Application**

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 1 below, or a joint inventor if plural inventors are named below, of the invention entitled:

Mammalian cell lines modified for the production of recombinant glycoproteins

which is described and claimed in:

☒ the attached specification or                      ☐ the specification in application  
Serial No.  
filed  
(for declaration not accompanying appl.)

that I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge my duty to disclose information of which I am aware which is material to patentability in accordance with 37 CFR §1.56. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I hereby claim the priority benefits under 35 U.S.C. §119 of any application(s) for patent or inventor's certificate listed below. All foreign applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed are also identified below.

**PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED**

<u>COUNTRY</u>	<u>APPLICATION NO.</u>	<u>DATE OF FILING</u>
Italy	MI 2003 A 001909	October 3, 2003

**ALL FOREIGN APPLICATIONS, IF ANY, FILED PRIOR  
TO THE APPLICATION(S) OF WHICH PRIORITY IS CLAIMED**

<u>COUNTRY</u>	<u>APPLICATION NO.</u>	<u>DATE OF FILING</u>
PCT	PCT/IT2004/00529	September 27, 2004

**POWER OF ATTORNEY:**

Practitioners at Customer Number

07278

Customer Number

  
07278

Customer Number Bar Code

all of the firm of DARBY &amp; DARBY P.C., 805 Third Avenue, New York, NY 10022

**SEND CORRESPONDENCE TO:**DARBY & DARBY P.C.  
Post Office Box 5257  
New York, NY 10150-5257**DIRECT TELEPHONE CALLS TO:**Adda C. Gogoris  
212-527-7700



FULL NAME AND RESIDENCE OF INVENTOR 1LAST NAME:  
POZZUOLO

FIRST NAME:

Sabrina

MIDDLE NAME:

CITY: Sesto San Giovanni (Milano)

STATE OR FOREIGN COUNTRY:

Italy

COUNTRY OF CITIZENSHIP:  
Italy

POST OFFICE ADDRESS:

Via G. Pascoli 13

CITY:

Sesto San Giovanni (Milano)

STATE OR COUNTRY:

Italy

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1: X

Giancarlo Tonon

DATED: \_\_\_\_\_

SIGNATURE OF INVENTOR 2: X

Sabrina Pozzuolo

DATED: 1.XII.2005

SIGNATURE OF INVENTOR 3: X

Lucia Monaco

DATED: \_\_\_\_\_

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.:

**DECLARATION  
AND POWER OF ATTORNEY  
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As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 1 below, or a joint inventor if plural inventors are named below, of the invention entitled:

Mammalian cell lines modified for the production of recombinant glycoproteins

which is described and claimed in:

☒ the attached specification or

☐ the specification in application  
Serial No.  
filed  
(for declaration not accompanying appl.)

that I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge my duty to disclose information of which I am aware which is material to patentability in accordance with 37 CFR §1.56. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I hereby claim the priority benefits under 35 U.S.C. §119 of any application(s) for patent or inventor's certificate listed below. All foreign applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed are also identified below.

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PCT	PCT/IT2004/00529	September 27, 2004

**POWER OF ATTORNEY:**

Practitioners at Customer Number

**07278**

Customer Number

  
07278  
PCT/IT2004/00529

Customer Number Bar Code

all of the firm of DARBY &amp; DARBY P.C., 805 Third Avenue, New York, NY 10022

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Post Office Box 5257  
New York, NY 10150-5257

**DIRECT TELEPHONE CALLS TO:**

Adda C. Gogoris  
212-527-7700

FULL NAME AND RESIDENCE OF INVENTOR 1LAST NAME:  
TONON

FIRST NAME:

Giancarlo

MIDDLE NAME:

CITY: Milano

STATE OR FOREIGN COUNTRY:

Italy

COUNTRY OF CITIZENSHIP: Italy

POST OFFICE ADDRESS:

Via San Bernardo 35/6

CITY:

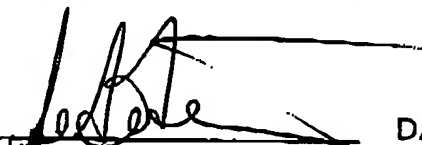
Milano

STATE OR COUNTRY:

Italy

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1:

  
Giancarlo Tonon

DATED: 25.XI.2005

SIGNATURE OF INVENTOR 2:

Sabrina Pozzuolo

DATED: \_\_\_\_\_

SIGNATURE OF INVENTOR 3:

Lucia Monaco

DATED: \_\_\_\_\_

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.:

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Original Application**

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Mammalian cell lines modified for the production of recombinant glycoproteins

which is described and claimed in:

☒ the attached specification or                      ☐ the specification in application  
Serial No.  
filed  
(for declaration not accompanying appl.)

that I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge my duty to disclose information of which I am aware which is material to patentability in accordance with 37 CFR §1.56. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I hereby claim the priority benefits under 35 U.S.C. §119 of any application(s) for patent or inventor's certificate listed below. All foreign applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed are also identified below.

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**POWER OF ATTORNEY:**

Practitioners at Customer Number

07278

Customer Number

  
07278  
PRACTITIONERS AT CUSTOMER NUMBER

Customer Number Bar Code

all of the firm of DARBY &amp; DARBY P.C., 805 Third Avenue, New York, NY 10022

**SEND CORRESPONDENCE TO:**

DARBY & DARBY P.C.  
Post Office Box 5257  
New York, NY 10150-5257

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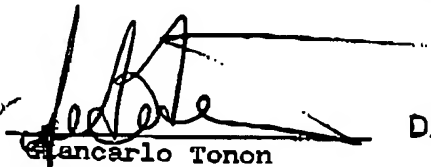
Adda C. Gogoris  
212-527-7700

FULL NAME AND RESIDENCE OF INVENTOR 1

LAST NAME: Monaco FIRST NAME: Lucia MIDDLE NAME:  
CITY: Milano STATE OR FOREIGN COUNTRY: Italy COUNTRY OF CITIZENSHIP: Italy  
POST OFFICE ADDRESS: CITY: STATE OR COUNTRY:  
Via Cola di Rienzo 51 Milano Italy

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1:

  
Giancarlo Tonon

DATED: \_\_\_\_\_

SIGNATURE OF INVENTOR 2:

Sabrina Pozzuolo

DATED: \_\_\_\_\_

SIGNATURE OF INVENTOR 3:

  
Lucia MonacoDATED: 7. XII. 2005